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**SEP 10 2007**

## **CERTIFICATE OF FAX TRANSMISSION**

**Transmission Date: 10 September 2007    Docket: 1014-091**

**Transmission #: 1    of Total Transmissions: 1**

**Pages in this Transmission: 9    of Total Pages Transmitted: 9**

I hereby certify that the following correspondence is being facsimile transmitted, via one or more transmissions as described above, to the attention of the Director of the US Patent and Trademark Office on the above date via the following facsimile number: 571-273-8300.

Information Disclosure Statement (5 sheets)

Form PTO-1449 (1 sheet)

Fee Transmittal Form (PTO/SB/17) (1 sheet)

Credit Card Payment Form (PTO-2038) (1 sheet)

Application Number    09/642,980  
Confirmation No.:    8413  
Filing Date:    18 August 2000  
Document Submission Date: 10 September 2007

Art Unit: 2616  
Examiner: Nguyen, Brian D.  
Inventor: Roy, Radhika R.  
Docket: 1999-0587 (1014-091)

10 Sep 2007

Date

Kelly M. Albertini

Name of Certifier

*Kelly M. Albertini*

Signature of Certifier

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**SEP 10 2007**

**PATENT**

**Application 09/642,980**

**Attorney Docket 1999-0587 (1014-091)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Roy, Radhika R.  
Application : 09/642,980  
Confirmation : 8413  
Filed : 8/18/2000  
Application Title : H.323 User, Service and Service Provider Mobility Framework  
for the Multimedia Intelligent Networking  
Art Unit : 2616  
Latest Examiner : Nguyen, Brian D.

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Mail Stop Amendment

Commissioner for Patents

United States Patent and Trademark Office (USPTO)

P.O. Box 1450

Alexandria, VA 22313-1450

09/11/2007 PC101MP 00000000 09/12/08

01 FC:1000

100.00 07

Sir:

Pursuant to 37 CFR 1.56, 1.97, and 1.98, the attention of the USPTO is hereby directed to the attached listing of documents and/or the attached Declaration. For each document listed under "Foreign Patent Documents" or "Non Patent Literature Documents", one copy of that document is attached.

It is respectfully requested that the listed documents:

- (1) be expressly considered during the prosecution of this application;
- (2) be made of record therein; and
- (3) appear among the "References Cited" on any patent to issue therefrom.

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Although the information submitted herewith might be "material" to the USPTO's consideration of this application, this submission is not intended to be an admission that such information qualifies as "prior art" as to any claimed subject matter. The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made.

The following marked paragraphs are applicable in this IDS:

**Timing of this IDS:**

- ☐ **A.** This IDS is being filed per 37 CFR 1.97(b)
- ☐ (1): within 3 months of the U.S. filing date other than a CPA under 1.53(d);
  - ☐ (2): within 3 months of the date of entry of the national stage as set forth in 1.491 in an international application;
  - ☐ (3): before the mailing date of a first Office Action on the merits; **OR**
  - ☐ (4): before the mailing date of a first Office Action associated with a request for continued examination (RCE) under 1.114;

**AND**, thus, no certification or fee is required.

- ☒ **B.** This IDS is being filed per 37 CFR 1.97(c), **AFTER** the period specified in 37 CFR 1.97(b) [section A of this IDS], and **BEFORE**:
- (a) the mailing date of any Final Action under 1.113,
  - (b) a Notice of Allowance under 1.311, **OR**
  - (c) an action that otherwise closes prosecution,

**AND**, per 37 CFR 1.97(e), I hereby certify that:

- ☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS ; **OR**

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**Application 09/642,980**

**Attorney Docket 1999-0587 (1014-091)**

- ☐ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS;

**OR**, per 37 CFR 1.97(c), this IDS is accompanied by:

- ☒ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

- ☐ C. This IDS is being filed per 37 CFR 1.97(d), AFTER the period specified in 37 CFR 1.97(c) [section B of this IDS], and ON or BEFORE the payment of the Issue Fee;

**AND**, per 37 CFR 1.97(e), I hereby certify that:

- ☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS; **OR**
- ☐ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS;

**AND**, per 37 CFR 1.97(d), this IDS is accompanied by:

- ☐ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

**Legible Copies of Listed References:**

- ☐ D. In addition to the attached listing, accompanying this IDS is a legible copy of each listed:
- (1) foreign patent document;

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**Application 09/642,980**

**Attorney Docket 1999-0587 (1014-091)**

- (2) pending unpublished U.S. application OR that portion of the application that caused it to be listed including any claims directed to that portion; **AND**
- (3) non-patent document or that portion thereof that caused it to be listed;
- (4) other information or that portion that caused it to be listed.

- ☐ E. Consistent with 37 CFR 1.98(d), because the IDS submitted in parent U.S. Patent Application No. \_\_\_\_\_, to which this application claims priority per 35 U.S.C. 120, complies with 37 CFR 1.98(a) to (c), copies of the patent documents, non-patent documents, pending U.S. application, and other information submitted in that parent application do not accompany this IDS.

**Related U.S. Application(s):**

- ☐ K. The Examiner's attention is directed to U.S. Patent Application No. \_\_\_\_\_, which is directed to related technical subject matter. The identification of this U.S. Patent Applications is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the documents cited therein during examination of the present application.
- ☒ L. This application is one of a series of related applications, namely, U.S. Patent Application Nos. 10/835,632, 11/251,672, 11/509,182, 11/879,467, which are directed to related technical subject matter. The identification of those U.S. Patent Applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the documents cited therein during the examination.
- ☐ M. The listed document(s) was/were cited by or submitted to the Office in parent application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. 120. Thus, copies of these documents are not attached. 37 CFR 1.98(d).

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**PATENT**

**Application 09/642,980**

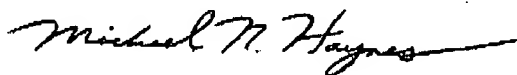
**Attorney Docket 1999-0587 (1014-091)**

**CONCLUSION**

The Office is hereby authorized to charge any additional fees or credit any overpayments under 37 C.F.R. 1.16 or 1.17 to Deposit Account No. 50-2504. The Examiner is invited to contact the undersigned at 434-972-9988 to discuss any matter regarding this application.

Respectfully submitted,

Michael Haynes PLC



Date: 10 September 2007

Michael N. Haynes

Registration No. 40,014

1341 Huntersfield Close

Keswick, VA 22947

Telephone: 434-972-9988

Facsimile: 815-550-8850

PTO/1449

Approved for use through 10/31/2002. OMB 0661-0031  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	09/642,980
		Filing Date	8/18/2000
		First Named Inventor	Roy, Radhika R.
		Group Art Unit	2818
		Examiner Name	Nguyen, Brian D.
(use as many sheets as necessary)		Attorney Docket Number	1999-0587; Haynes 1014-091
Sheet	1	of	1

PUBLISHED U.S. PATENT DOCUMENTS			
Examiner Initials	Application or Patent Number	Name of Applicant or Patentee	Date of Publication
	6,266,405	MADOUR	24 July 2001

Examiner  
SignatureDate  
Considered

1

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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SEP 10 2007

PTO/SB/17 (12-04)

Approved for use through 07/31/2008. OMB 0851-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

(Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.)

Effective on 12/09/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4018).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$) **180.00****Complete if Known:**

Application Number	09/642,980
Filing Date	18 August 2000
First Named Inventor	Roy, Radhika R.
Examiner Name	Nguyen, Brian D.
Art Unit	2816
Attorney Docket No.	1999-0587; Haynes 1014-091

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-2504 Deposit Account Name: Michael N. Haynes

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**      **Multiple Dependent Claims**

- 20 or HP = 0 x 50 = 0      **Fee (\$)**      **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20      0

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

- 3 or HP = 0 x 200 = 0

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(e).

**Total Sheets**      **Extra Sheets**      **Number of each additional 50 or fraction thereof**      **Fee (\$)**      **Fee Paid (\$)**

- 100 =      / 50 = 0 (round up to a whole number) x 250 = 0

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Submission of IDS

**Fees Paid (\$)**

0

180

**SUBMITTED BY**Signature *Michael N. Haynes*Registration No. 40,014  
(Attorney/Agent)

Telephone 434-872-9888

Name (Print/Type) Michael N. Haynes

Date 10 Sep 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.